

D3-13-00

Attorney Docket No. 99-062

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application Jay S. WALKER et al.) Customer No.: 22927
of:

For: METHOD, SYSTEM AND) Examiner Not yet assigned
COMPUTER PROGRAM)
PRODUCT FOR FACILITATING AN)
AUTOMATIC BEHAVIOR AND)
AUTOMATIC BIDDING IN AN)
AUCTION)
Serial No.: Not yet assigned) Group Art Unit: Not yet assigned
Filing Date: March , 2000) Docket No.: 99-062

93/110/5665
J685U.S. P10

Box Patent Application

Assistant Commissioner for Patents
Washington, D. C. 20231

TRANSMITTAL LETTER

Sir:

Applicants hereby submit the following documents for the above-identified patent application:

1. Certificate of Mailing by Express Mail No. EL080833748US;
2. Patent Application Transmittal Letter (large entity)(in duplicate);
3. Executed Combined Declaration and Power of Attorney;
4. Recordation Form Cover Sheet with Executed Assignment Document;
5. Patent Application (32 pages);
6. Set of Formal Drawings (16 sheets: Figs. 1-18);
7. Information Disclosure Statement;
8. PTO-1449 with copies of references cited (33); and
9. Acknowledgment Postcard.

The Assistant Commissioner is hereby authorized to charge \$ 2,740.00 to Deposit Account No. 50-0271. Order No. 99-062. This total includes \$ 2,700.00 for processing the filing of the application and \$ 40.00 for processing the assignment papers. A duplicate copy of this sheet is attached.

The Assistant Commissioner is further authorized to charge any additional fees which may be required for the submission of this paper, or to credit any overpayment, to Deposit Account No. 50-0271. Order No. 99-062. A duplicate copy of this sheet is attached.

Respectfully submitted,



Dean Alderucci
Attorney for Applicants
PTO Reg. No. 40,484
Walker Digital Corporation
Five High Ridge Park
Stamford, CT 06905
Telephone: (203) 461-7337
Facsimile: (203) 595-8266

March 10, 2000
Date

Certificate of Mailing

I hereby certify that this correspondence is being sent via Express Mail in an envelope labeled EL080833748US to Box Patent Application, Assistant Commissioner for Patents, Washington, DC, 20231 on March 10, 2000.

Margaret N. Kaswer
Typed Name of Person Making Deposit



Signature

03/10/2000
Date

UTILITY PATENT APPLICATION TRANSMITTAL
(Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.

99-062

Total Pages in this Submission

77

TO THE ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application

Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

METHOD, SYSTEM AND COMPUTER PROGRAM PRODUCT FOR FACILITATING AN AUCTION BEHAVIOR AND AUTOMATIC BIDDING IN AN AUCTION

and invented by:

Jay S. WALKER; Daniel E. TEDESCO; Kathleen VAN LUCHENE; James A. JORASCH; and Adam WARE



If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:

Continuation Divisional Continuation-in-part (CIP) of prior application No.: _____

Which is a:

Continuation Divisional Continuation-in-part (CIP) of prior application No.: _____

Which is a:

Continuation Divisional Continuation-in-part (CIP) of prior application No.: _____

Enclosed are:

Application Elements

1. Filing fee as calculated and transmitted as described below

2. Specification having 32 pages and including the following:
 - a. Descriptive Title of the Invention
 - b. Cross References to Related Applications (*if applicable*)
 - c. Statement Regarding Federally-sponsored Research/Development (*if applicable*)
 - d. Reference to Microfiche Appendix (*if applicable*)
 - e. Background of the Invention
 - f. Brief Summary of the Invention
 - g. Brief Description of the Drawings (*if drawings filed*)
 - h. Detailed Description
 - i. Claim(s) as Classified Below
 - j. Abstract of the Disclosure

UTILITY PATENT APPLICATION TRANSMITTAL
(Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

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Application Elements (Continued)

3. Drawing(s) (*when necessary as prescribed by 35 USC 113*)
 - a. Formal Number of Sheets 16
 - b. Informal Number of Sheets _____
4. Oath or Declaration
 - a. Newly executed (*original or copy*) Unexecuted
 - b. Copy from a prior application (37 CFR 1.63(d)) (*for continuation/divisional application only*)
 - c. With Power of Attorney Without Power of Attorney
 - d. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application,
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. Incorporation By Reference (*usable if Box 4b is checked*)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. Computer Program in Microfiche (*Appendix*)
7. Nucleotide and/or Amino Acid Sequence Submission (*if applicable, all must be included*)
 - a. Paper Copy
 - b. Computer Readable Copy (*identical to computer copy*)
 - c. Statement Verifying Identical Paper and Computer Readable Copy

Accompanying Application Parts

8. Assignment Papers (*cover sheet & document(s)*)
9. 37 CFR 3.73(B) Statement (*when there is an assignee*)
10. English Translation Document (*if applicable*)
11. Information Disclosure Statement/PTO-1449 Copies of IDS Citations
12. Preliminary Amendment
13. Acknowledgment postcard
14. Certificate of Mailing

First Class Express Mail (*Specify Label No.:*) EL080833748US

UTILITY PATENT APPLICATION TRANSMITTAL
(Large Entity)

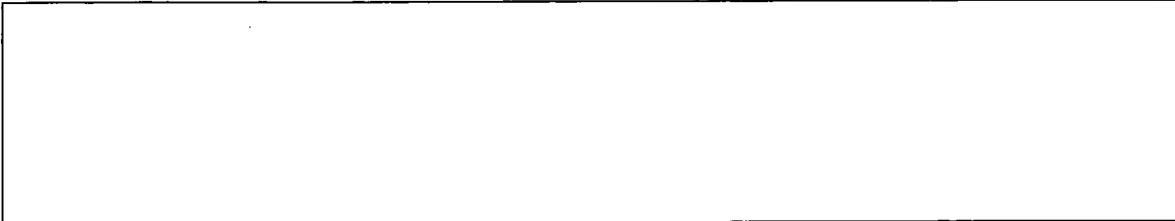
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
 99-062

Total Pages in this Submission
 77

Accompanying Application Parts (Continued)

15. Certified Copy of Priority Document(s) (*if foreign priority is claimed*)

16. Additional Enclosures (*please identify below*):


Fee Calculation and Transmittal

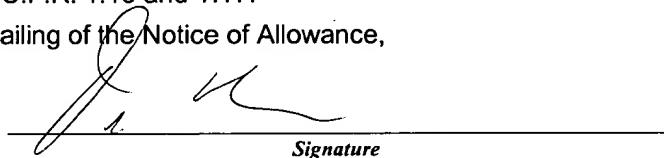
CLAIMS AS FILED

| For | #Filed | #Allowed | #Extra | Rate | Fee |
|---|--------------------------|----------|------------|------------------|------------|
| Total Claims | 58 | - 20 = | 38 | x \$18.00 | \$684.00 |
| Indep. Claims | 20 | - 3 = | 17 | x \$78.00 | \$1,326.00 |
| Multiple Dependent Claims (check if applicable) | <input type="checkbox"/> | | | | \$0.00 |
| | | | | BASIC FEE | \$690.00 |
| OTHER FEE (specify purpose) | | | Assignment | | \$40.00 |
| | | | | TOTAL FILING FEE | \$2,740.00 |

A check in the amount of _____ to cover the filing fee is enclosed.

The Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0271 as described below. A duplicate copy of this sheet is enclosed.

- Charge the amount of \$2,740.00 as filing fee.
- Credit any overpayment.
- Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).



Signature

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 Facsimile: (203) 595-8266

Dated: March 10, 2000

cc:



22927

PATENT TRADEMARK OFFICE

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Jay S. WALKER et al.

Docket No.

99-062

Serial No.

Not yet assigned

Filing Date

March 10, 2000

Examiner

Not yet assigned

Group Art Unit

Not yet assigned

**Invention: METHOD, SYSTEM AND COMPUTER PROGRAM PRODUCT FOR FACILITATING AN
AUCTION BEHAVIOR AND AUTOMATIC BIDDING IN AN AUCTION**

JC685 U.S.P.T.O.
09/523653
03/10/00

I hereby certify that this **PATENT APPLICATION**

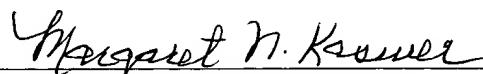
(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under
37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on
March 10, 2000

(Date)

Margaret N. Kaswer

(Typed or Printed Name of Person Mailing Correspondence)



(Signature of Person Mailing Correspondence)

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